

**For publication**

**DERBYSHIRE AUDIT FORUM**

**For publication**

---

Meeting:	Standards and Audit Committee
Date:	5 <sup>th</sup> April 2017
Cabinet portfolio:	Governance
Report by:	Internal Audit Consortium Manager

---

**1.0 Purpose of report**

- 1.1 To inform Members of the establishment of the Derbyshire Audit Forum Group by KPMG and to feedback to Members details of the topics discussed by this group on the 26<sup>th</sup> January 2017.

**2.0 Recommendations**

- 2.1 That the presentations and slides produced in respect of the recent meeting of the Derbyshire Audit Forum be noted.
- 2.2 That Members consider if they feel that Member attendance at future meetings of the Derbyshire Audit Forum would be useful.

**3.0 Report details**

- 3.1 In January 2017 KPMG established the Derbyshire Audit Forum Group. Members, Finance Heads and Heads of Audit were invited from various sectors including the County Council, District Councils, Hospitals and Colleges to attend the groups meeting.
- 3.2 This Group was established on the basis that it would be useful for Members, Finance Officers and Heads of Audit to discuss and share best practice ideas in terms of what makes an effective Audit Committee. The forum also offers the opportunity to discuss "hot topics" such as cyber security and key risk areas.

3.3 The forums first meeting was held on the 26<sup>th</sup> January 2017 and was facilitated by KPMG and hosted by Derbyshire County Council. The event was attended by the Chair of the Audit Committee and the Internal Audit Consortium Manager.

3.4 Attached at Appendix 1 and 2 are the slides and presentations resulting from the Groups meeting.

3.5 At the end of the meeting the Group agreed that it would be useful for the forum to meet every 6 months. Attendees are encouraged to put forward ideas and topics for discussion.

#### 4.0 **Human resources/people management implications**

4.1 There are no human resource considerations.

#### 5.0 **Financial implications**

5.1 There are no financial implications

#### 6.0 **Legal and data protection implications**

6.1 There are no legal and data protection implications.

#### 7.0 **Consultation**

7.1 Not Applicable

#### 8.0 **Risk management**

8.1 By attending the group best practice and ideas can be shared and disseminated which may help to ensure that the audit committee is as effective as possible and meets its responsibilities.

#### 9.0 **Equalities Impact Assessment (EIA)**

9.1 Equalities – there are none arising from the contents of this report.

#### 10.0 **Alternative options and reasons for rejection**

10.1 Not Applicable.

## 11.0 **Recommendations**

11.1 That the presentations and slides produced in respect of the recent meeting of the Derbyshire Audit Forum be noted.

11.2 That Members consider if they feel that Member attendance at future meetings of the Derbyshire Audit Forum would be useful.

## 12.0 **Reasons for recommendations**

12.1 To inform Members of the Derbyshire Audit Forum and to provide details of the topics and discussions at the January 2017 meeting.

### **Decision information**

<b>Key decision number</b>	
<b>Wards affected</b>	
<b>Links to Council Plan priorities</b>	Value for money

### **Document information**

<b>Report author</b>	<b>Contact number/email</b>
<b>Jenny Williams</b>	<b>01246 345468</b> <b>Jenny.williams@chesterfield.gov.uk</b>
<b>Background documents</b> These are unpublished works which have been relied on to a material extent when the report was prepared.	
<b>Appendices to the report</b>	

Appendix 1	Derbyshire Audit Forum Slides
Appendix 2	KPMG Effective Audit Committee meetings: worrying signals and potential responses